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3625

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Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/056,471	ECKERT ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Nicholas D. Rosen	3625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 27 September 2005.
- 2a) This action is FINAL.                            2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-47 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 25 January 2002 is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |  |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)<br>2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)<br>3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____. | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____.<br>5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)<br>6) <input type="checkbox"/> Other: _____. |
|--|--|

## DETAILED ACTION

Claims 1-47 have been examined.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

### **Claims 1-19**

Claims 1, 2, 4, 5, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). As per claim 1, Giovannoli discloses a method for highly automated procurement services, comprising

the steps of: (a) accessing a database initialized with information regarding a plurality of trading partners: (1) said plurality of trading partners including customer and non-customer trading partners; (2) said information including trading relationship information involving at least a customer trading partner and another of said trading partners (Abstract; column 3, lines 55-67; column 4, line 50, through column 5, line 36); (b) receiving a purchase request of a first trading partner among said trading partners (column 4, line 67, through column 5, line 8); (c) automatically selecting at least one qualified trading partner from said trading partners based on said purchase request (column 5, lines 9-36); (d) generating an RFQ based on (1) a portion of the trading relationship information pertaining to said at least one qualified trading partner; and (2) said purchase request (column 4, line 67, through column 5, line 57); (e) forwarding said RFQ to said at least one qualified trading partner (column 5, lines 37-57); (f) receiving a notification pertaining to said at least one qualified trading partner (column 5, line 58, through column 6, line 11); and (g) automatically processing said notification, including forwarding said notification to the first trading partner (column 5, line 58, through column 6, line 11). Giovannoli does not expressly disclose generating a purchase order, as opposed to an RFQ, but purchase orders are well known, as taught by Fan (see especially paragraph beginning "If they wish"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order, for the obvious advantage of more quickly completing arrangements for the purchase of desired products.

Giovannoli does not disclose generating a purchase order or RFQ (3) without requiring direct communication between the first trading partner and the at least one qualified trading partner, but Stevens teaches generating an RFQ based on a purchase request and trading relationship information, and then selecting a winning bid, the notification of selection being a purchase order or near-equivalent, without requiring direct communication between the first trading partner and the at least one qualified trading partner (paragraphs 33-38; Figures 7 and 9). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order without requiring direct communication between the first trading partner and the at least one qualified trading partner, for the obvious advantage of rapidly selecting the lowest bidder among qualified trading partners, and rapidly arranging procurement of the requested products or services.

As per claim 2, Giovannoli discloses (1) establishing terms and conditions with at least one of said plurality of trading partners; and (2) storing said terms and conditions into the database (Abstract; column 5, lines 9-36).

As per claim 4, Giovannoli discloses (1) establishing business rules; and (2) storing said business rules into the database (Abstract; column 5, lines 9-36).

As per claim 5, Giovannoli does not expressly disclose that the business rules include rules for allocating the purchase order or RFQ among said at least one qualified trading partners, but Fan teaches automatically allocating an RFQ among multiple qualified trading partners, implying rules for so doing (three paragraphs beginning from

"That brings us to how"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention for the business rules to include rules for allocating the purchase order among said at least one qualified trading partners, for the stated advantage of saving the purchaser the trouble of having to create multiple bills of materials (or other requests) and send them to multiple suppliers himself.

As per claim 11, Giovannoli discloses that said notification is a response to the RFQ (which may therefore be viewed as an acknowledgement thereof); and discloses automatically forwarding the notification/acknowledgement to the first trading partner (column 5, line 58, through column 6, line 11).

Claim 3 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 1 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095). Giovannoli does not disclose (1) establishing a purchase forecast with at least one of the plurality of trading partners, and (2) storing said purchase forecast in the database, but Allen teaches establishing a purchase forecast with at least one of the plurality of trading partners (paragraph 76), with databases for storing such information (Abstract; paragraphs 75-76). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to establish and store a forecast, for the stated advantage of anticipating or predicting future needs of the at least one trading partner.

Claims 6, 8, 12, 13, and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan and Stevens as applied to claim 1 above, and further

in view of official notice. As per claim 6, Giovannoli does not expressly disclose (1) prompting said first trading partner to provide identity verification information; and (2) receiving the purchase request after first trading partner has been successfully verified. However, Giovannoli does disclose registration of trading partners, and discloses that, once registered, a member can access the forms necessary for preparing an RFQ (column 4, line 67, through column 5, line 8). This implies that someone who is not registered cannot do this, and suggests, therefore, means for distinguishing those who have registered from those have not. Moreover, official notice is taken that it is well known for sites to prompt members to provide identity verification information (e.g., username and password), and only then allow them to transact their business. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to prompt the first trading partner to provide identity verification information, and receive the purchase request after verification, for the obvious advantage of preventing fraudulent purchases and damaging pranks.

As per claim 8, prompting the at least one qualified trading partner to provide identity verification, and only then receiving notification, is held to be obvious on the grounds set forth in the rejection of claim 6, above.

As per claim 12, Giovannoli discloses a shipment notice, which may be sent directly to the first trading partner, or to the quotation system computer (column 6, lines 12-23), and Giovannoli discloses automatically forwarding notifications to the first trading partner (column 5, line 58, through column 6, line 11). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of

applicant's invention to have the notification be a shipment notice, and to have step (g) include: (A) automatically forwarding said shipment notice to the first trading partner, for the obvious advantage of assuring that the first trading partner be informed of the shipment of ordered goods, and able to make plans on that basis.

Giovannoli does not disclose (B) automatically creating an accounts payable file and an account receivable file based on the shipment notice, but official notice is taken that creating accounts payable and accounts receivable files is standard and well-known business practice to maintain accounts payable and accounts receivable files. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to create such files based on the shipment notice, for the obvious advantage of recording payment information, being able to readily document such information in case questions or disputes should arise, and for such purposes as producing financial statements, and calculating taxes owed.

As per claim 13, Giovannoli does not disclose generating an invoice based on the accounts receivable file, forwarding said invoice to the first trading partner, receiving payment from the first trading partner based on the invoice, and recording the payment in an accounts receivable database, but official notice is taken that generating invoices, sending them to purchasers, receiving payments, and recording the payments is standard business procedure, and held to be obvious as set forth in the rejection of claim 12 above.

As per claim 14, Giovannoli does not disclose calculating payment to the at least one qualified trading partner based on the accounts payable file, forwarding said

payment to the at least one qualified trading partner, recording said payment in the accounts payable database, but official notice is taken that calculating payment, forwarding said payment to a supplier, and recording the payment in an accounts payable file or database is standard business procedure, and held to be obvious as set forth in the rejection of claim 12 above.

Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 1 above, and further in view of Gililand ("No-Nonsense Accounting"). Giovannoli does not disclose determining a business project identification associated with the purchase request, but Gililand teaches (1) determining a business project identification associated with a purchase request; and (2) reviewing information associated with said business project identification in a database (see whole article, especially the paragraph beginning "The project-tracking table"); while Giovannoli discloses selecting at least one qualified trading partner based on various relevant information from the trading partners (column 5, lines 9-36). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention determine a business project identification associated with a purchase request, review associated information in the database, and select at least one qualified trading partner based at least in part on said reviewing, for the obvious advantage of finding the best matches among trading partners.

Claims 9, 10, 15, and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 1 above, and further in view of the news release "Teknion Selects New iBaan Solution to Drive Major

Collaborative Commerce Initiatives,” hereinafter “Teknion.” As per claim 9, Giovannoli does not disclose receiving and resolving an escalation process request, but “Teknion” teaches communicating changes to orders, and providing web-based purchase order negotiation (see especially the paragraph beginning “Teknion’s business demands”), which can be considered receiving an escalation request, and resolving the escalation request, at least if the negotiation is successfully included, which may be presumed to occur, both because negotiations sometimes are brought to successful conclusions, and because the described system would have little point if negotiations were uniformly failures. (Note Melchior, 2002/0178021, as well.)

As per claim 10, given that web-based negotiation occurs, as taught by “Teknion,” it may be presumed to involve requesting a negotiation with at least one of the parties involved, without which negotiation could hardly begin, and forwarding an outcome to at least another party, without which negotiations could hardly conclude, or at least hardly be known to have concluded. Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant’s invention to request a negotiation and forward an outcome, for the obvious advantage of resolving disputes or new issues, in particular issues arising from changes to orders, such negotiations involving receiving and resolving an escalation process request.

As per claim 15, “Teknion” discloses customizing and communicating changes, and providing web-based purchase order negotiation, which implies (h) receiving a change order request of at least one of the first trading partner and the at least one qualified trading partner; and (i) forwarding the change order request to another of the

first trading partner and the at least one qualified trading partner. Steps (j) and (k), receiving and resolving an escalation process request, are held to be obvious as set forth above, regarding claims 9 and 10.

As per claim 16, this is almost parallel to claim 10, and held to be obvious on essentially the same grounds. Regarding substep (1), if one requests a change in terms of a trading partner, and negotiation occurs, one would typically request negotiation with that trading partner. Regarding substep (2), depending on the possible back-and-forth of negotiations, there is at least a fifty percent chance that the outcome would have been forwarded to the trading partner of whom the change order request was received – at least fifty percent, because the outcome might well be forward to both of the two (or more) trading partners involved.

Claim 17 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 1 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095). Giovannoli does not disclose (h) receiving purchase forecast information of said first trading partner; (i) automatically forwarding said purchase forecast information to said at least one qualified trading partner; and (j) storing said purchase forecast in the database, but Allen teaches establishing a purchase forecast with at least one of the plurality of trading partners (paragraph 76), with databases for storing such information (Abstract; paragraphs 75-76), and suggests automatically forwarding said purchase forecast information to said at least one qualified trading partner (paragraphs 81 and 84). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of

applicant's invention to establish, forward, and store a forecast, for the stated advantage of anticipating or predicting future needs of the at least one trading partner.

Claims 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, Stevens, and Allen as applied to claim 17 above, and further in view of the news release "Teknion Selects New iBaan Solution to Drive Major Collaborative Commerce Initiatives," hereinafter "Teknion." Claims 18 and 19 are closely parallel to claims 9 and 10, and rejected on the same grounds set forth above.

**Claim 20**

Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). Giovannoli discloses a method for providing highly automated procurement services, comprising the steps of: (a) accessing a database initialized with information regarding a plurality of trading partners: (1) said plurality of trading partners including customer and non-customer trading partners; (2) said information including trading relationship information involving at least a customer trading partner and another of said trading partners (Abstract; column 3, lines 55-67; column 4, line 50, through column 5, line 36); (b) receiving a purchase request of a first trading partner among said trading partners (column 4, line 67, through column 5, line 8); (c) automatically selecting at least one qualified trading partner from said trading partners based on said purchase request (column 5, lines 9-36); (d) generating an RFQ based on said purchase request (column 4, line 67, through

column 5, line 57); (e) forwarding said RFQ to said at least one qualified trading partner (column 5, lines 37-57); (f) receiving a notification pertaining to said at least one qualified trading partner (column 5, line 58, through column 6, line 11); and (g) automatically processing said notification, including forwarding said notification to the first trading partner (column 5, line 58, through column 6, line 11). Giovannoli does not expressly disclose generating a purchase order, as opposed to an RFQ, but purchase orders are well known, as taught by Fan (see especially paragraph beginning "If they wish"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order, for the obvious advantage of more quickly completing arrangements for the purchase of desired products.

Giovannoli does not disclose generating a purchase order or RFQ without requiring direct communication between the first trading partner and the at least one qualified trading partner, but Stevens teaches generating an RFQ based on a purchase request and trading relationship information, and then selecting a winning bid, the notification of selection being a purchase order or near-equivalent, without requiring direct communication between the first trading partner and the at least one qualified trading partner (paragraphs 33-38; Figures 7 and 9). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order without requiring direct communication between the first trading partner and the at least one qualified trading partner, for the obvious advantage of rapidly selecting the lowest bidder among qualified

trading partners, and rapidly arranging procurement of the requested products or services.

### **Claims 21-36**

Claims 21, 22, 23, 25, 26, 29, and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). As per claim 21, Giovannoli discloses a system for providing highly automated procurement services, comprising (a) a database initialized with information regarding a plurality of trading partners; (1) said plurality of trading partners including customer and non-customer trading partners (2) said information including trading relationship information involving at least a customer trading partner and another of said trading partners (Abstract; column 3, lines 55-67; column 4, line 50, through column 5, line 36); and (b) a computer system coupled to communication devices of said plurality of trading partners via a set of communication networks (Abstract; Figure 1; column 3, lines 55-67; column 4, line 50, through column 5, line 36): (1) said computer system being coupled to said computer database (Abstract; Figure 1; column 3, lines 55-67; column 4, line 50, through column 5, line 36); (2) said computer system being configured to receive a purchase request of a first trading partner among said trading partners (column 4, line 67, through column 5, line 8); (3) said computer system being configured to generate an RFQ based on said information and said purchase request (column 4, line 67, through column 5, line 57); (4) said computer system being configured to forward said RFQ to at

least one qualified trading partner selected from said trading partners based on said purchase request (column 5, lines 9-57); (5) said computer system being configured to receive a notification from said at least one qualified trading partner (column 5, line 58, through column 6, line 11); and (6) said computer system being configured to automatically process said notification, including forwarding said notification to the first trading partner (column 5, line 58, through column 6, line 11). Giovannoli does not expressly disclose generating a purchase order, as opposed to an RFQ, but purchase orders are well known, as taught by Fan (see especially paragraph beginning "If they wish"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order, for the obvious advantage of more quickly completing arrangements for the purchase of desired products.

Giovannoli does not disclose generating a purchase order or RFQ without requiring direct communication between the first trading partner and the at least one qualified trading partner, but Stevens teaches generating an RFQ based on a purchase request and trading relationship information, and then selecting a winning bid, the notification of selection being a purchase order or near-equivalent, without requiring direct communication between the first trading partner and the at least one qualified trading partner (paragraphs 33-38; Figures 7 and 9). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order without requiring direct communication between the first trading partner and the at least one qualified trading

partner, for the obvious advantage of rapidly selecting the lowest bidder among qualified trading partners, and rapidly arranging procurement of the requested products or services.

As per claim 22, Giovannoli discloses forwarding said notification to the first trading partner (column 5, line 58, through column 6, line 11).

As per claim 23, this is parallel to claim 2, and rejected on the same grounds.

As per claim 25, this is parallel to claim 4, and rejected on the same grounds.

As per claim 26, this is parallel to claim 5, and rejected on the same grounds.

As per claim 29, this is parallel to part of claim 11, and rejected on the same grounds.

As per claim 30, Giovannoli discloses a shipment notice, which may be sent directly to the first trading partner, or to the quotation system computer (column 6, lines 12-23).

Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 21 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095). Claim 24 is essentially parallel to claim 3, and rejected on the same grounds.

Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 21 above, and further in view of Gililand ("No-Nonsense Accounting"). Claim 27 is essentially parallel to claim 7, and rejected on the same grounds.

Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 21 above, and further in view of the news release “Teknion Selects New iBaan Solution to Drive Major Collaborative Commerce Initiatives,” hereinafter “Teknion.” Claim 28 is parallel to claim 9, and rejected on the grounds set forth for rejecting claims 9 and 10 above.

Claims 31, 32, and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 30 above, and further in view of official notice. As per claim 31, claim 31 is essentially parallel to claim 12, part 2(B), and rejected on the same grounds.

As per claim 32, this is parallel to claim 13, and rejected on the same grounds.

As per claim 33, this is parallel to claim 14, and rejected on the same grounds.

Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 21 above, and further in view of the news release “Teknion.” Claim 34 is parallel to claim 15, and rejected on the same grounds.

Claims 35 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens, as applied to claim 21 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095), and also in view of “Teknion” for claim 36. As per claim 35, claim 35 is parallel to claim 17, and rejected on essentially the same grounds.

As per claim 36, limitations (k) and (l) of claim 35 merely repeat limitations (j) and (k) of claim 34.

**Claims 37-41**

Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). Claim 37 is parallel to claim 1, and rejected on the same grounds, Giovannoli's computer-implemented method requiring logic code for causing the computers to carry out the steps.

Claim 38 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 37 above, and further in view of Gililand ("No-Nonsense Accounting"). Claim 38 is parallel to claim 7, and rejected on the same grounds.

Claims 39 and 40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 36 above, and further in view of the news release "Teknion." Claim 39 is parallel to claim 9, and rejected on the grounds set forth for rejecting claims 9 and 10 above.

Claim 40 is parallel to claim 15, and rejected on the grounds set forth for rejecting claims 9, 10, and 15 above.

Claim 41 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, Stevens, and "Teknion" as applied to claim 40 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095). Claim 40 is parallel to claim 17, and rejected on essentially the same grounds.

**Claim 42**

Claim 42 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). Claim 41 is parallel to claim 20, and rejected on the same grounds, Giovannoli's computer-implemented method requiring logic code for causing the computers to carry out the steps.

**Claims 43-47**

Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli (U.S. Patent 5,758,328) in view of Fan ("Focus on the Future: Harnessing the Internet to Streamline Procurement of Mechanical Equipment") and Stevens (U.S. Patent Application Publication 2002/0111894). Giovannoli discloses a computer system for providing highly automated procurement services, comprising (a) means for accessing a database initialized with information regarding a plurality of trading partners; (1) said plurality of trading partners including customer and non-customer trading partners; (2) said information including trading relationship information involving at least a customer trading partner and another of said trading partners (Abstract; column 3, lines 55-67; column 4, line 50, through column 5, line 36); (b) means for receiving a purchase request of a first trading partner among said trading partners (column 4, line 67, through column 5, line 8); (c) means for automatically selecting at least one qualified trading partner from said trading partners based on said purchase request (column 5, lines 9-36); (d) means for generating an RFQ based on (1) a portion

of the trading relationship information pertaining to said at least one qualified trading partner; and (2) said purchase request (column 4, line 67, through column 5, line 57); (e) means for forwarding said RFQ to said at least one qualified trading partner (column 5, lines 37-57); (f) means for receiving a notification pertaining to said at least one qualified trading partner (column 5, line 58, through column 6, line 11); and (g) means for automatically processing said notification, including forwarding said notification to the first trading partner (column 5, line 58, through column 6, line 11). Giovannoli does not expressly disclose generating a purchase order, as opposed to an RFQ, but purchase orders are well known, as taught by Fan (see especially paragraph beginning "If they wish"). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order, for the obvious advantage of more quickly completing arrangements for the purchase of desired products.

Giovannoli does not disclose generating a purchase order or RFQ (3) without requiring direct communication between the first trading partner and the at least one qualified trading partner, but Stevens teaches generating an RFQ based on a purchase request and trading relationship information, and then selecting a winning bid, the notification of selection being a purchase order or near-equivalent, without requiring direct communication between the first trading partner and the at least one qualified trading partner (paragraphs 33-38; Figures 7 and 9). Hence, it would have been obvious to one of ordinary skill in the art of electronic commerce at the time of applicant's invention to generate a purchase order without requiring direct

communication between the first trading partner and the at least one qualified trading partner, for the obvious advantage of rapidly selecting the lowest bidder among qualified trading partners, and rapidly arranging procurement of the requested products or services.

Claim 44 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 43 above, and further in view of Gililand ("No-Nonsense Accounting"). Claim 44 is parallel to claim 7, and rejected on the same grounds.

Claims 45 and 46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 43 above, and further in view of the news release "Teknion." Claim 45 is parallel to claim 9, and rejected on the grounds set forth for rejecting claims 9 and 10 above.

Claim 46 is parallel to claim 15, and rejected on the grounds set forth for rejecting claims 9, 10, and 15 above.

Claim 47 is rejected under 35 U.S.C. 103(a) as being unpatentable over Giovannoli, Fan, and Stevens as applied to claim 43 above, and further in view of Allen (U.S. Patent Application Publication 2003/0126095). Claim 47 is parallel to claim 17, and rejected on essentially the same grounds.

It is noted that claims 43-47 use "means for" language. Nonetheless, they are not treated as invoking 35 U.S.C. 112, sixth paragraph. If Applicant wishes to invoke 35 U.S.C. 112, sixth paragraph, Applicant should provide an explicit statement to that effect. 35 U.S.C. 112, sixth paragraph states:

An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof.

### ***Response to Arguments***

Applicant's arguments filed September 27, 2005, have been fully considered but they are not persuasive. Applicant argues, first, that Giovannoli does not disclose generating a purchase order, and that combining Giovannoli with Fan was improper. Applicant requests an Examiner's Affidavit, which Examiner does not hold to be necessary or appropriate in the circumstances. Examiner's Affidavits are used to make of record an examiner's statement about his own knowledge of facts not supported by other prior art of record, and not held to be obvious as well known in the art, and capable of instant and unquestioned demonstration if challenged. Examiner did not rely on official notice to reject claim 1, nor on special knowledge of his own, but upon Fan.

In response to Applicant's argument that the examiner's conclusion of obviousness is based upon improper hindsight reasoning, it must be recognized that any judgment on obviousness is in a sense necessarily a reconstruction based upon hindsight reasoning. But so long as it takes into account only knowledge which was within the level of ordinary skill at the time the claimed invention was made, and does not include knowledge gleaned only from the applicant's disclosure, such a reconstruction is proper. See *In re McLaughlin*, 443 F.2d 1392, 170 USPQ 209 (CCPA 1971). Examiner did not learn of purchase orders from Applicant's disclosure.

Applicant argues, secondly, that in Fan's article, the purchase order is automatically generated after the buyer and supplier have negotiated and reached an agreement, whereas in claim 1 (as amended), a purchase order is generated without requiring direct communication between the buyer and supplier. Examiner acknowledges that Applicant's amended claim language distinguishes over the combination of Giovannoli and Fan, but this is mooted by the teaching of Stevens, who teaches selecting and notifying a winning bidder, in a system bearing many points of resemblance to that of Applicant.

Applicant's arguments regarding dependent claims, and other independent claims, are similar to those regarding claim 1, or dependent on the validity of Applicant's arguments regarding claim 1, and therefore unpersuasive for the same reasons that Applicant's arguments regarding claim 1 are unpersuasive.

### ***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Lidow (U.S. Patent Application Publication 2002/0019761) discloses supply chain architecture (note especially paragraphs 143-150). Jain et al. (U.S. Patent Application Publication 2002/0069121) disclose supply assurance. Coffman et al. (U.S. Patent Application Publication 2002/0099638) disclose a method and system for electronically communicating with suppliers. Lindquist (U.S. Patent Application Publication 2003/0110054) discloses a method and system of consolidating

and managing purchases on behalf of an entity. Lentz (U.S. Patent Application Publication 2004/0039650) discloses a method and system for collaborative sourcing.

Kato (Japanese Patent Application Publication 2001-357314) discloses a good delivery service network.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nicholas D. Rosen, whose telephone number is 571-272-6762. The examiner can normally be reached on 8:30 AM - 5:00 PM, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wynn Coggins, can be reached on 571-272-7159. The fax phone number

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for the organization where this application or proceeding is assigned is 571-273-8300.

Non-official/draft communications can be faxed to the examiner at 571-273-6762.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

*Nicholas D. Rosen*  
**NICHOLAS D. ROSEN**  
**PRIMARY EXAMINER**

December 14, 2005